



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

DECLARATION

ATTORNEY'S DOCKET NO.
11305/1

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name,

I believe I am the original, first, and sole inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled **METHOD AND SYSTEM FOR AUTOMATED AUCTION AND TENDER OF COMPLEX MULTI-VARIABLE COMMODITIES**, the specification of which was filed as U.S. Patent Application No. 09/496,389 on the 2d day of February, 2000.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

SEND CORRESPONDENCE, AND DIRECT TELEPHONE CALLS TO:

Oleg F. Kaplun, Esq.
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One Broadway
New York, New York 10004
(212) 425-7200 (phone)
(212) 425-5288 (facsimile)

I declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR	FAMILY NAME MARCHEGIANI	FIRST GIVEN NAME Boris	SECOND GIVEN NAME V.
RESIDENCE & CITIZENSHIP	CITY Miami	STATE OR FOREIGN COUNTRY Florida	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 888 Brickell Key Drive	CITY Miami	STATE & ZIP CODE/COUNTRY Florida 33131
Signature <i>Boris V. Marchegiani</i>		Date <i>3/20/00</i>	



U.S. DEPARTMENT OF COMMERCE
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**VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS
37 CFR 1.9(c-f) and 1.27(b-d)**

Docket Number:
11305/1

Application Number
09/496,389

Filing Date
02-Feb-00

Examiner
To be assigned

Art Unit
To be assigned

Invention Title
**METHOD AND SYSTEM FOR AUTOMATED
AUCTION AND TENDER OF COMPLEX
MULTI-VARIABLE COMMODITIES**

Inventor(s)
Boris V. Marchegiani

Assistant Commissioner
for Patents
Washington D.C. 20231

With respect to the invention described in the above-referenced application, I declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: **Petroleum Electronic Pricing Exchange L.L.C.**
Address of Concern: **2800 Biscayne Boulevard, Suite 510
Miami, Florida 33137**

and that the above identified small business concern qualifies as a small business concern as defined in 13 CFR § 121.3-18, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 U.S.C., in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

1. OWNERSHIP OF INVENTION BY DECLARANT

I hereby declare that all rights under contract or law have been conveyed to the above identified Small Business Concern, and no rights to the invention are held (1) by any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, (2) any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or (3) a non-profit organization under 37 CFR § 1.9(e).

2. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

3. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Signer's Name:
Boris V. Marchegiani

Signature:

Boris V. Marchegiani

Date:

5/12/00

Signer's Title:

PRESIDENT

Signer's Address:

Petroleum Electronic Pricing Exchange L.L.C.
2800 Biscayne Boulevard, Suite 510
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